



2131  
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ORIGINALLY FILED

Docket No. 23701-7002

**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):**

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner \_\_\_\_\_ at Facsimile No. \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m.

Dated: December 19, 2001 Name of Person Certifying: Deborah Cameron  
Printed Name: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Edward J. Toy, et al. Assignee: E L & Associates, Inc.  
Filing Date: June 29, 2001 Examiner: Not assigned  
Serial No.: 09/895,842 Group Art Unit: 2131  
Title: METHOD AND APPARATUS FOR ENCRYPTED ELECTRONIC FILE  
ACCESS CONTROL

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

**I. Timing of the Information Disclosure Statement:**

This Information Disclosure Statement is filed:

Before the mailing date of a first Office action on the merits. In the event, however, that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is hereby authorized to charge Deposit Account No. [50-1193] for any fees required pursuant to 37 C.F.R. §§ 1.17(p) or 1.17(i)(1).

The undersigned certifies that:

Each item of information contained in the Information Disclosure Statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Application No. 09/895,842

No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

**II. Copies of the Cited Items:**

Copies of all of the items listed on the attached Form PTO-1449 are enclosed.

**III. Concise Explanation of Relevance:**

A concise explanation of relevance of the items listed on Form PTO-1449 is not given.

**IV. Conclusion:**

Citation of the above documents shall not be construed as:

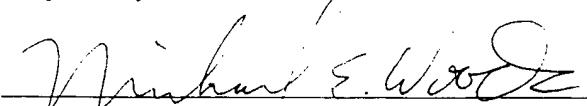
1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. 50-1193 Docket No. [23701-7003] for any additional fees required in connection with the filing of this Information Disclosure Statement.

DATE: 12-19-01

Respectfully submitted,

By:   
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**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S) INFORMATION DISCLOSURE  
STATEMENT**  
(Use several sheets if necessary)



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**ATTY. DOCKET NO.**

23701-7002

**SERIAL NO.**

09/895,842

**INVENTOR**

Edward J. Toy, et al.

**FILING DATE**

June 29, 2001

**GROUP ART UNIT**

2131

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1	5,563,946	10/08/1996	Cooper, et al.			
	A2	5,623,637	04/22/1997	Jones, et al.			
	A3						

**FOREIGN PATENT DOCUMENTS**

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N
							yes no
	B1	PCT/US01/20835	29/Jun/2001	PCT			
	B2						
	B3						
	B4						
	B5						

**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

	C1	Paul Marquess; "Filter::tee - tee source filter" 1995; 1,2, (software package)
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	

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**OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)**

	C7	
	C8	
	C9	

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**EXAMINER****DATE CONSIDERED**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to Applicant(s).